

07-19-04

18W

PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/619779-Conf. #3310
		Filing Date	July 15, 2003
		First Named Inventor	Norihiro KIMOTO et al.
		Art Unit	1744
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission		Attorney Docket Number	SHZ-015

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Submission of Certified Copies of Priority Documents (1page); Return Receipt Post Card
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	LAHIVE & COCKFIELD, LLP Jeanne M. DiGiorgio - 41,710
Signature	
Date	July 15, 2004

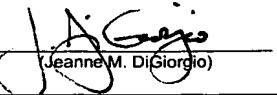
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV378821599US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: July 15, 2004

Signature: (Jeanne M. DiGiorgio)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV378821599US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: July 15, 2004

Signature: 

Jeanne M. DiGiorgio

Docket No.: SHZ-015  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Initial Patent Application of:  
Norihiro KIMOTO et al.

Application No.: 10/619779

Confirmation No.: 3310

Filed: July 15, 2003

Art Unit: 1744

For: ALPHA-KETO ACID REDUCTASE, METHOD FOR  
PRODUCING THE SAME, AND METHOD FOR  
PRODUCING OPTICALLY ACTIVE ALPHA HYDROXY  
ACIDS USING THE SAME

Examiner: Not Yet Assigned

SUBMISSION OF CERTIFIED COPIES OF PRIORITY DOCUMENTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

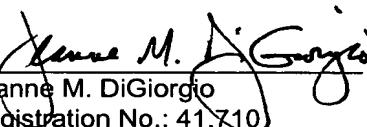
In support of Applicants' claim to priority under 35 U.S.C. §119 which is set forth in the Application Data Sheet filed on July 15, 2003 and which was acknowledged in the Official Filing Receipt, a certified copy of the original foreign application is filed herewith. This certified document includes a copy of the following application:

Country::	Application number::	Filing Date::
Japan	2002-207507	07/16/2002

Applicants believe that no fee is due with this submission. However, if a fee is due, please charge our Deposit Account No. 12-0080, under Order No. SHZ-015 from which the undersigned is authorized to draw.

Dated: July 15, 2004

Respectfully submitted,

By   
Jeanne M. DiGiorgio  
Registration No.: 41,710  
LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, Massachusetts 02109  
(617) 227-7400  
(617) 742-4214 (Fax)  
Attorney/Agent For Applicant